

Tracking Engines for Averaging, Banking, and Trading

Section I: Engine Supplier Data

To be completed by the engine manufacturer participating in the Averaging, Banking, and Trading program.

Complete one sheet for each engine purchaser.

Complete the top portion with the model year of the engine family involved, your company name, and a personnel contact and telephone number.

Complete the entire portion, where each line for each family contains Item (PTI) within an engine family. Fill out the EPA designated engine family name, the applicable FEEL, the beginning and ending engine numbers of the engines with that FEEL, and the volume of those engines that were shipped to the engine purchaser.

Send to the engine purchaser for completion of the Engine Purchaser Data.

For engines that are not sold by the engine purchaser to a location defined as a point of first retail sale, additional tracking of the engines will be required in order to qualify those engines for credit generation or to exclude those engines from credit usage in this program.

Upon completion of all necessary data items, maintain a copy of this information to be kept with other Averaging, Banking, and Trading related records.

Section II: Engine Purchaser Data

To be completed by the customer of an engine manufacturer participating in the Averaging, Banking, and Trading program.

Complete the top portion with your company name, a personnel contact, and telephone number.

Verify that the number of engines indicated in the Engine Supplier Data section under "Volume Shipped" is the number of engines that you have purchased from the engine supplier, and that each family contains Item (PTI) within the indicated engine families.

Complete the data requested in the entire portion of this section. For each category of engines, indicate:

- a) the number of engines within that engine family that you have purchased from the engine supplier, and the number of engines within that engine family that you have shipped to a point of first retail sale in the 49 States;
- b) the number of engines within that engine family that you have shipped to a point of first retail sale in the 49 States that is not a point of first retail sale; and
- c) the number of engines within that engine family with the associated FEEL that have been shipped to California every day (number) in either of these categories:

Verify that the sum of the engine volume data requested for each engine family that you have shipped to a point of first retail sale in the 49 States, and the difference between this sum, and the number of engines indicated under Section I, "Volume Shipped," is the number of engines in each engine family that you have not shipped to a customer.

For those engines that you have shipped to a location not defined as a point of first retail sale, the engine supplier may need to obtain additional information in order to determine shipping those engines.

Read and sign the statement below.

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Definitions

Point of First Retail Sale: a new vehicle drive-away destination, where the end user purchases the finished vehicle. This location could be a dealer, body shop, or other location where the vehicle is sold directly to the end user; the point of first retail sale would be the end user.

49 States: U.S. territories and possessions are considered part of the 49 States for purposes of this program. For example, Puerto Rico is not a 49-day state. U.S. territories and possessions are not considered 49-day states in effect. Canada or other countries are not considered 49 States sales.

